Release Statement

Modelled gridded population estimates for Tanganyika Province in the Democratic Republic of Congo version 4.1.

30 August 2024
Original Release: 30 August 2024

Abstract

This data release provides gridded population estimates (spatial resolution of 3 arc-seconds, approximately 100 m grid cells) for Tanganyika province in the Democratic Republic of Congo (DRC), along with estimates of the number of people belonging to various age-sex groups. The project team used the Pre-Distribution Registration Survey (PDRS) data from the National Malaria Control Programme (PNLP) collected as part of anti-malarial campaigns in the Democratic Republic of the Congo for 2023, settlement footprint and geospatial covariates to model and estimate population numbers at grid cell level using a Bayesian statistical hierarchical modelling framework. The approach facilitated simultaneous accounting for the multiple levels of variability within the data. It also allowed the quantification of uncertainties in parameter estimates. These model-based population estimates can be considered as most accurately representing the year 2023. This time period corresponds to the PDRS survey date for Tanganyika. Although the methods were robust enough to explicitly account for key random biases within the datasets, it is noted that systematic biases, which may arise from sources other than random errors within the observed data collection process, remain.

These data were produced by the WorldPop Research Group at the University of Southampton. This work was part of the GRID3 – Phase 2 scaling project, with funding from the Bill and Melinda Gates Foundation (INV-044979). Project partners included the GRID3 Inc., the Center for International Earth Science Information Network (CIESIN) in the Earth Institute at Columbia University and WorldPop at the University of Southampton. The final statistical modelling was designed, developed, and implemented by Chris Nnanatu. Data processing was done by Ortis Yankey and Amy Bonnie with additional support from Tom Abbott and Heather Chamberlain. Project oversight was done by Attila Lazar and Andy Tatem. The PDRS data from the malaria insecticide treated net(ITN) distribution campaigns was collected, processed, anonymised and shared by the PNLP and its implementing partners. The settlement footprint data was prepared and shared by CIESIN.

The authors followed rigorous procedures designed to ensure that the used data, the applied method and thus the results are appropriate and of reasonable quality. If users encounter apparent errors or misstatements, they should contact WorldPop at release@worldpop.org.

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RELEASE CONTENT

1. COD_Tanganyika_province_population_v4.1_gridded.zip
2. COD_Tanganyika_province_population_v4.1_agesex.zip

LICENSE

SUGGESTED CITATIONS

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FILE DESCRIPTIONS

The projection for all GIS files is the geographic coordinate system WGS84 (World Geodetic System 1984).

COD_Tanganyika_province_population_v4_1_gridded.tif

This geotiff raster contains estimates of total population size for each approximately 100m grid cell (0.0008333 decimal degrees grid) across Tanganyika province. The values are the mean of the posterior probability distribution for the predicted population size in each grid cell. Gridcells with values of 0 represent areas that were mapped as unsettled according to building footprints data.

COD_Tanganyika_province_population_v4_1_lower.tif

This geotiff raster contains estimates of the lower bound credible interval (2.5% CI) for each grid cell across Tanganyika province. The values are the 2.5% posterior probability

distribution of the predicted population size in each grid cell. The lower bound estimates cannot be summed across grid cells to produce a lower credible interval measure for a multi-cell area. Grid cells with values of 0 represent areas that were mapped as unsettled according to building footprints data

COD_Tanganyika_province_population_v4_1_upper.tif

This geotiff raster contains estimates of the upper bound credible interval (97.5% CI) for each grid cell across Tanganyika province. The values are the 97.5% posterior probability distribution for the predicted population size in each grid cell. The upper bound estimates cannot be summed across grid cells to produce an upper bound credible interval measure for a multi-cell area. Grid cells with values of 0 represent areas that were mapped as unsettled according to building footprints data.

COD_Tanganyika_province_population_v4_1_agesex.zip

This zip file contains 40 geotiff rasters at a spatial resolution of 3 arc-seconds (approximately 100 m). Each raster provides gridded population estimates for an age-sex group per grid cell across Tanganyika. We provide 36 rasters for the commonly reported age-sex groupings of sequential age classes for males and females separately. These are labelled with either an “m”(male) or an “f” (female) followed by the number of the first year of the age class represented by the data. “f0” and “m0” are population counts of under 1-year olds for females and males, respectively. “f1” and “m1” are population counts of 1- to 4-year-olds for females and males, respectively. Over 4 years old, the age groups are in five-year bins labelled with a “5”, “10”, etc. Eighty-year-olds and over are represented by the groups “f80” and “m80”. We provide four additional rasters that represent demographic groups often targeted by programmes and interventions. These are “under1” (all females and males under the age of 1), “under5” (all females and males under the age of 5), “under15” (all females and males under the age of 15) and “f15_49” (all females between the ages of 15 and 49, inclusive). These data were produced using age-sex proportions from the Malaria Indicator Cluster Survey (MICS) for the DRC for the year 2017. The age-sex proportions were applied to the gridded population estimates (COD_Tanganyika_province_population_v4_1_gridded.tif) to allocate the population to the different age-sex classes. While this data represents population counts, values contain decimals, i.e. fractions of people. This is because both the input population data and age-sex proportions contain decimals. For this reason, it is advised to aggregate the rasters at a coarser scale. For example, if four grid cells next to each other have values of 0.25 this indicates that there is 1 person of that age group somewhere in those four grid cells.

RELEASE HISTORY

Version 4.1 (30 August 2024)

- This is the original release of the data for Tanganyika province [doi: 10.5258/SOTON/WP00774] (as described in this release statement), Haut-Katanga province [doi: 10.5258/SOTON/WP00778] and Haut-Lomami province [doi: 10.5258/SOTON/ WP00777].
- This is a major update of the data for Maniema province [doi: 10.5258/SOTON/WP00773]
- This data release utilizes operational National Malaria Control Programme data, composite, openly accessible building footprint datasets and a new mastergrid.

Version 3.0 (4 January 2022) [doi:10.5258/SOTON/WP00720]

- Original release of the population dataset for the Haut-Katanga, Haut-Lomami, Ituri, Kasaï, KasaïOriental, Lomami and Sud-Kivu provinces.

Version 2.0 (27 May 2020) [doi:10.5258/SOTON/WP00669]

- Major revision of the population dataset for the Kinshasa, Kongo Central, Kwango, Kwilu and MaiNdombe provinces based on finer resolution input data.
- The settled extent is no longer derived from settlement data but from building footprints data.
- Population estimates for the different age and sex groups are no longer derived from existing agesex proportions but the original microcensus data.
- Gridded population estimates were added for individual age-sex groups (COD_population_v2_0_agesex.zip).
- Uncertainty tiles "COD_population_v1_0_tiles_uncertainty.zip" were removed because they were discontinued for use in WorldPop web applications.

Version 1.0 (20 May 2019) [doi:10.5258/SOTON/WP00658]

- Original release of the population dataset for the Kinshasa, Kongo Central, Kwango, Kwilu and Mai-Ndombe provinces.

ASSUMPTIONS AND LIMITATIONS

These population estimates most likely represent 2023, but because of the different ages of the input data used to build the model, a precise time point cannot be allocated. The PDRS data that was used as the response variable was collected in 2023, while geospatial covariates data were collected from different time periods between 2020 and 2023. Similarly, the CIESIN settlement layers were produced in 2024. The inherent

heterogeneity in the temporal alignment of these datasets used in the modelling may introduce uncertainties and potential inaccuracies in the modelling process.

Data on population per household (household size), collected during ITN distribution campaigns, was aggregated to calculate total population count for a given spatial unit. Given that the number of ITNs received by a household is proportional to the household size, there is an incentive for respondents to potentially inflate counts of population per household. The presence of inflated household sizes in the input population data would likely introduce systematic biases in the modelled estimates.

The model does not directly account for external factors such as migration, displacement, or sudden demographic changes, which could significantly influence population dynamics. However, the use of recently collected demographic and settlement datasets which capture recent changes in the population distribution/density offers extra layer of advantage. Nevertheless, the estimates may not fully reflect dynamic population shifts occurring beyond the scope of the input data.

Overall, the statistical model's predictions are looking reasonable, as expected across the grid cells. However, there are some seemingly high population estimates (1000+) predicted across 3 grid cells. Some of these highly populated grid cells are located in remote locations (28°29'27.577"E, 7°23'52.63"S) and locations that appear to be refugee camps (27°58'8.08"E, 5°40'58.97"S).

Grid cell alignment is based on a mastergrid. Please note that the mastergrid used for this version (v4.1), differs from previous versions of gridded population estimates for DRC (v1.0, 2.0 and 3.0) and other existing WorldPop data products. The mastergrid used for this version has been updated so as to ensure grid cell alignment with future WorldPop data products.

SOURCE DATA

The key datasets used to produce the modelled population estimates are:

PDRS Data

The input population dataset used for the population modelling for Tanganyika province was the PDRS malaria bednet campaign data. The PDRS dataset, which was collected in 2023, provided detailed information on a given household for which a bednet was issued, such as the household size, the number of bednets issued, the number of children in the household, the number of males, and the number of females, among others. The median household size was 5 individuals per household.

Although the malaria bednet campaign was designed to distribute bednet to every household within the province, a preliminary exploratory data analysis carried out on the PDRS data indicated that some households were not visited during the campaign. Specifically, we found that some health areas (Mukundi, Kampulu, Kankwaka and Kilungu) were not visited, while others were not completely covered. Health area boundary shapefiles were obtained from CIESIN (CIESIN, 2023)

The GPS points of all households within the Tanganyika province were provided in the PDRS data. We implemented population modelling for small spatial units, utilising unofficial boundaries similar to census Enumeration Areas ("pre-EAs"; Qader et al., 2024) which were recently used to deliver population modelling for Maniema, Haut-Katanga and Haut-Lomami provinces in the DRC. The household-level data on population counts was spatially aggregated to these spatial units, by summing the household size data for all GPS points within each pre-EA boundary.

Settlement Data

Settlement data was provided by CIESIN in the form of raster files (CIESIN, 2024). We obtained two different settlement products, namely (i) settlement area, which indicates the area of a grid cell that is settled; and (ii) building count, which is the number of buildings within a given cell. Each of these settlement layers was used in separate analyses together with the observed population count and ancillary geospatial data in robust statistical modeling. After using each settlement layer in the analysis, we compared model metrics and the gridded population layer from both layers. Settlement building count provided more realistic population numbers at the gridcell level and hence was retained for the final population predictions.

Geospatial Covariates

A wide variety of geospatial covariates, which are related to population density and distribution, were considered in the modelling. These geospatial covariates include land uses and land cover data, climate variables such as temperature and rainfall, distances to physical features, and infrastructure such as roads and schools, and conflict data. Population model covariates were selected using a generalized linear model (GLM) – based stepwise selection method. The selected covariates were further accessed for multi-collinearity and statistical significance. Eventually, of the 85 geospatial covariates initially tested, 4 were retained as the best fit covariates with variance inflation factor (VIF) of less than 5. The descriptions of these final geospatial covariates are presented in Table 1 below.

Table 1. Selected geospatial covariates for the modelling.

Description	Source	Link/References
Euclidean distance to Roads	GRID3	https://data.grid3.org/datasets /8a8d510bd940421286434801011221 2b_0/explore
Nighttime Light Intensity	EOG	https://eogdata.mines.edu/products/vnl/
Euclidean distance to trees/herbaceous landcover type for 2020.	WorldPop	Woods et al (2024)
Euclidean distance to Water Bodies in 2022	OSM	https://www.openstreetmap.org

Age-Sex Proportions (MICS Data)

We used the 2017 MICS dataset (INS, 2017) to calculate the age-sex proportions for Tanganyika. We multiplied our gridded population estimates (COD_Tanganyika_province_population_v4_1_gridded.tif) by the gridded age-sex proportions to produce COD_Tanganyika_province_population_v4.1_agesex.zip.

METHODS OVERVIEW

The key steps of our approach were as follows:

- Cleaning and summarizing the household sizes from the PDRS dataset to get the total population at the pre- Enumeration Area (pre-EA) level (Qader at al. 2024). PDRS data points with household sizes above 500 people per household signalled potential outliers and as such we imputed these household sizes with the median household size. Similarly, PDRS data point with household sizes of 0 were also imputed using the median household size
- Geospatial covariates were subjected to robust covariate selection for model training and parameter estimation.
- We developed a hierarchical Bayesian statistical model using the INLA-SPDE approach (Lindgren et al. 2011) to fit and predict the population count.
- Population estimates were predicted at grid cell level using the grid cell values of the covariates selected at the model training level.

Statistical Modelling

In general, within the context of bottom-up population modelling (Leasure et al. 2022, Boo et al., 2022; Darin et al., 2022, Nnanatu et al. 2022), the observed population count at area unit k, y_k, is a Poisson distributed random variable with mean parameter $\lambda_k = \bar{d}_k B_k$ where k is the estimation unit (e.g., enumeration area), while $\bar{d}_k$ and B_k are the mean parameter of the corresponding population density and the number of buildings/settled area, respectively. That is,

$$y_k \sim \text{Poisson}\left(\bar{d}_k B_k\right) \qquad (1)$$

Then, the transformed mean population density $\bar{d}_k$ is assumed to be linked to a set of geospatial covariates with log-link function:

$$\log\left(\bar{d}_k\right) = \mu + \sum_{j=1}^{J} \beta_j x_{kj} + \sum_{l=1}^{L} f_l(z_{kl}) \qquad (2)$$

where μ is the intercept parameter, $\beta = (\beta_1, \ldots \beta_J)$ is a vector of fixed effects coefficients of the $(x_1, \ldots, x_J)$ geospatial covariates; $f_l(.)$ is a function of L random effects covariates including those that capture variability in the population estimates due to settlement type, cluster location and spatial autocorrelations. The population density (defined as people per building or people per settled area) is assumed to be a Gamma distributed random variable with parameters α and γ with mean and variance given by $\bar{d}_k = \alpha/\gamma$ and $\sigma_d^2 = \alpha/\gamma^2$, respectively.

The inclusion of spatial autocorrelation requires the use of computationally efficient statistical modelling software. Thus, the integrated nested Laplace approximation (INLA; Rue et al 2009; Lindgren et al., 2011) is used via the R-INLA statistical package. Note that the method described above predicts population count at regular grid cells using the parameter values trained at the cluster/pre-EA level by calculating the predicted grid-cell level population density as

$$\hat{d}_g = \exp\left(\hat{\mu} + \sum_{j=1}^{J} \hat{\beta}_j x_{gj} + \sum_{l=1}^{L} \hat{f}_l\left(z_{g_l}\right)\right) \qquad (3)$$

where $\{x_g\}_{g=1}^{G}$ are the corresponding grid cell level values of the geospatial covariates used in training the model at the cluster level, so that the overall predicted population count across the G 100m by 100m grid cells is given by

$$\widehat{pop} = \sum_{g=1}^{G} B_g \hat{d}_g \qquad (4)$$

where B_g is the corresponding building count or the size of settled area in grid g. We assumed default INLA priors for each of the parameter estimates which have been found to be robust.

In this study, we approached the population modelling using building count settlement layer. Thus, population density was defined as people per building count. The novelty of the modelling approach utilised here is that it allows for the adjustment of potential systematic bias in the input population data within a coherent Bayesian hierarchical population modelling framework while at the same time adjusting for spatial autocorrelation within the observed data.

All data processing and analysis was carried out using R (v.4.2.2) (R Core Team, 2023) and INLA (v 22.05.07) (Rue et al. 2009). The concept of bottom-up population modelling for estimating population in the absence of recent census data was described by Leasure et al. (2020). Approaches similar to the one used here for Tanganyika have been carried out for Papua New Guinea (WorldPop and NSO PNG, 2022) and Cameroun (Nnanatu et al, 2022).

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